

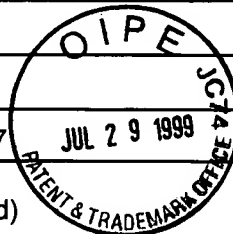
FEE TRANSMITTAL**(Large Entity)**

Complete if Known

Application Number	09/210,952
Filing Date	15 December 1998
First Named Inventor	Michael M. FAINZILBER et al.
Group Art Unit	1643
Examiner Name	

Total Amount of Payment (\$ 3,178.00

Attorney Docket Number 2314-147

**METHOD OF PAYMENT (check one)****FEE CALCULATION (continued)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to Deposit Account Number 02-2135 in the name of Rothwell, Figg, Ernst & Kurz

- ☒ Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☐ Charge for the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

2. ☒ Payment Enclosed: Check

FEE CALCULATION**1. FILING FEE**

Fee Description	Fee Code	Fee Paid
<input checked="" type="checkbox"/> Utility Filing Fee	101	760
<input type="checkbox"/> Design Filing Fee	106	310
<input type="checkbox"/> Plant Filing Fee	107	480
<input type="checkbox"/> Reissue Filing Fee	108	760
<input type="checkbox"/> Provisional Filing Fee	114	150
SUBTOTAL		\$760.00

2. CLAIMS

	Fee from	Extra	below	Fee Paid
Total Claims	47 - 20 = 27	x	\$18	= 486.00
Independent Claims	7 - 3 = 4	x	78	= 312.00
Multiple Dependent Claims		+	260	=

SUBTOTAL \$ 798.00**3. ADDITIONAL FEES**

Fee Description	Fee Code	Fee Paid
<input checked="" type="checkbox"/> Surcharge - late filing fee or oath	105	130
<input type="checkbox"/> Surcharge - late provisional filing fee or cover sheet	127	50
<input type="checkbox"/> Non-English specification	139	130
<input type="checkbox"/> For filing a request for reexamination	147	2,520
<input type="checkbox"/> Requesting publication of SIR prior to Examiner action	112	920
<input type="checkbox"/> Requesting publication of SIR after Examiner action	113	1,840*
<input type="checkbox"/> Extension for reply within first month	115	110
<input type="checkbox"/> Extension for reply within second month	116	380
<input type="checkbox"/> Extension for reply within third month	117	870
<input checked="" type="checkbox"/> Extension for reply within fourth month	118	1,360
<input type="checkbox"/> Extension for reply within fifth month	128	1,850
<input type="checkbox"/> Notice of Appeal	119	300
<input type="checkbox"/> Filing a brief in support of an appeal	120	300
<input type="checkbox"/> Request for Oral Hearing	121	260
<input type="checkbox"/> Petition to institute a public use proceeding	138	1,510
<input type="checkbox"/> Petition to revive - unavoidable	140	110
<input type="checkbox"/> Petition to revive - unintentional	141	1,210
<input type="checkbox"/> Utility issue fee (or reissue)	142	1,210
<input type="checkbox"/> Design issue fee	143	430
<input type="checkbox"/> Plant issue fee	144	580
<input checked="" type="checkbox"/> Petitions to the Commissioner	122	130
<input type="checkbox"/> Petitions related to provisional applications	123	50
<input type="checkbox"/> Submission of Information Disclosure Statement	126	240
<input type="checkbox"/> Recording each patent assignment per property (times number of properties)	581	40
<input type="checkbox"/> Filing a submission after final rejection (37 CFR 1.129(a))	146	760
<input type="checkbox"/> For each additional invention to be examined (37 CFR 1.129(b))	149	760

Other fee (specify)

☐

* Reduced by Basic Filing Fee Paid

SUBTOTAL \$1,620.00

SUBMITTED BY		Complete (if applicable)			
NAME AND REG. NUMBER	Stephen A. Saxe, Ph.D., Reg. No. 38,609				
SIGNATURE	Stephen A. Saxe	DATE	July 29, 1999	DEPOSIT ACCOUNT USER ID	02-2135

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Box Missing Parts

Michael M. FAINZILBER et al.

Serial No. 09/210,952

Attention:
Applications Branch

Filed: 15 December 1998

For: GAMMA-CONOPEPTIDES



RESPONSE TO NOTICE TO FILE MISSING PARTS

Box Missing Parts

Assistant Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

In response to a Notice to File Missing Parts of Application under 37 CFR 1.53(b) dated 29 January 1999, response copy attached, a Declaration and Power of Attorney identifying the above-referenced application by Serial Number and Filing Date is being herewith submitted. Also attached is a check in payment of the following fees:

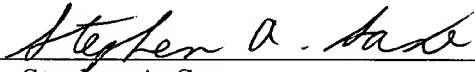
\$ 130.00 (surcharge under 37 C.F.R. 1.16(e))
760.00 (basic filing fee)
798.00 (additional claims fee)
1,360.00 (4 month extension of time)
130.00 (petition)
\$3,178.00 TOTAL

Please charge any additional fees or credit any overpayment for the payment of the filing fee, any extra claims fees or the surcharge to deposit account number 02-2135. An additional copy of this letter is enclosed for that purpose.

The following document(s) is/are being submitted concurrently under separate cover:
Tab A - One (1) Declaration/Power of Attorney.
Tab B - A Request for a Four-Month Extension of Time under 37 C.F.R. 1.136(a) and check for the requisite fee.

Tab C - Petition for filing Patent Application by the Assignee Pursuant to 37 CFR § 1.47(b).
Tab D - Declaration of Brent K. Brown and attached copy of Policy and Procedures Manual.
Tab E - Declaration of Joel B. Kirschbaum and attached copy of University of California Patent Policy, Effective October 1, 1997 together with a Technology Disclosure Form, UCSF Office of Technology Management signed by inventor Michael Fainzilber.
Tab F - An Assignment signed by Craig Walker, Maren Watkins and Julita Imperial assigning their rights to the University of Utah.
Tab G - An Assignment signed by Brent K. Brown assigning the rights of the University of Utah to the University of Utah Research Foundation.

Respectfully submitted,

By 
Stephen A. Saxe
Attorney for Applicants
Registration No. 38,609
ROTHWELL, FIGG, ERNST & KURZ, p.c.
Suite 701-E, 555 13th Street, N.W.
Washington, D.C. 20004
Telephone: (202)783-6040

Dated: 29 July 1999
147.NMP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Michael M. FAINZILBER et al.

Serial No. 09/210,952

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Attorney for Applicants
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